

10/91/03  
U.S. PTO

03-27-01

A  
PTO/SB/05 (11-00)

Please type a plus sign (+) inside this box → +

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

C978 U.S. PTO 03/16/01

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
- Applicant claims small entity status.  
See 37 CFR 1.27.
- Specification [Total Pages 71]
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [ Total Sheets 34 ]
- Oath or Declaration [ Total Pages ]

  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

- Application Data Sheet. See 37 CFR 1.76

|                        |   |
|------------------------|---|
| Attorney Docket No.    | 106101.145                                    |
| First Inventor         | Zuomei Li                                     |
| Title                  | Inhibition of Specific Histone Deacetylase... |
| Express Mail Label No. | EL 540703006 US                               |

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

|                                       |  |  |
|---------------------------------------|--|--|
| <b>ACCOMPANYING APPLICATION PARTS</b> |  |  |
| 9.                                    | Assignment Papers (cover sheet & document(s))  |  |
| 10.                                   | 37 CFR 3.73(b) Statement   | <input type="checkbox"/> Power of Attorney       |
| 11.                                   | English Translation Document (if applicable)   |  |
| 12.                                   | Information Disclosure Statement (IDS)/PTO-1449  | <input type="checkbox"/> Copies of IDS Citations |
| 13.                                   | Preliminary Amendment  |  |
| 14.                                   | <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>                           |  |
| 15.                                   | <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(If foreign priority is claimed)</i>                                   |  |
| 16.                                   | <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. |  |
| 17.                                   | <input type="checkbox"/> Other: .....  |  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No. .... / ....

Prior application information: Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

|                          |   |   |              |
|--------------------------|---|---|--------------|
| <input type="checkbox"/> | Customer Number or Bar Code Label<br><i>(Insert Customer No. or Attach bar code label here)</i> | <input type="checkbox"/> or <input type="checkbox"/> Correspondence address below |              |
| Name                     | Robert McIsaac, Ph.D.   |   |              |
| Address                  | Hale and Dorr LLP<br>60 State Street  |   |              |
| City                     | Boston  | State   | MA           |
| Country                  | U.S.A.  | Telephone   | 617-526-6000 |
| Fax                      | 617-526-5000  |   |              |

|                   |                       |                                   |        |                |
|-------------------|-----------------------|-----------------------------------|--------|----------------|
| Name (Print/Type) | Robert McIsaac, Ph.D. | Registration No. (Attorney/Agent) | 46,918 |                |
| Signature         | <i>Robert McIsaac</i> |                                   | Date   | March 26, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 687.00)

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | Not Yet Assigned |
| Filing Date          | March 26, 2001   |
| First Named Inventor | Zuomei Li        |
| Examiner Name        | Not Yet Assigned |
| Group Art Unit       | Not Yet Assigned |
| Attorney Docket No.  | 106101.145       |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **08-0219**  
Deposit Account Name **Hale and Dorr**

- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description               | Fee Paid      |
|---------------|---------------|-------------------------------|---------------|
| 101           | 710           | 201 355 Utility filing fee    | <b>355.00</b> |
| 106           | 320           | 206 160 Design filing fee     |               |
| 107           | 490           | 207 245 Plant filing fee      |               |
| 108           | 710           | 208 355 Reissue filing fee    |               |
| 114           | 150           | 214 75 Provisional filing fee |               |

**SUBTOTAL (1) (\$ 355.00)**

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below       | Fee Paid |
|--------------------|--------------|----------------------|----------|
| Independent Claims | 48           | -20** = 28 x 9 = 252 |          |
| Multiple Dependent | 5            | - 3** = 2 x 40 = 80  |          |
|                    |              |                      | =        |

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  |
|---------------|---------------|--|
| 103           | 18            | 203 9 Claims in excess of 20                                     |
| 102           | 80            | 202 40 Independent claims in excess of 3                         |
| 104           | 270           | 204 135 Multiple dependent claim, if not paid                    |
| 109           | 80            | 209 40 ** Reissue independent claims over original patent        |
| 110           | 18            | 210 9 ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$ 332.00)**

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

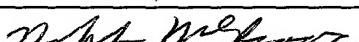
| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description   | Fee Paid |
|----------------------------|----------------------------|---|----------|
| 105                        | 130                        | 205 65 Surcharge - late filing fee or oath  |          |
| 127                        | 50                         | 227 25 Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                        | 130                        | 139 130 Non-English specification   |          |
| 147                        | 2,520                      | 147 2,520 For filing a request for <i>ex parte</i> reexamination                  |          |
| 112                        | 920*                       | 112 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113                        | 1,840*                     | 113 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115                        | 110                        | 215 55 Extension for reply within first month                                     |          |
| 116                        | 390                        | 216 195 Extension for reply within second month                                   |          |
| 117                        | 890                        | 217 445 Extension for reply within third month                                    |          |
| 118                        | 1,390                      | 218 695 Extension for reply within fourth month                                   |          |
| 128                        | 1,890                      | 228 945 Extension for reply within fifth month                                    |          |
| 119                        | 310                        | 219 155 Notice of Appeal  |          |
| 120                        | 310                        | 220 155 Filing a brief in support of an appeal                                    |          |
| 121                        | 270                        | 221 135 Request for oral hearing  |          |
| 138                        | 1,510                      | 138 1,510 Petition to institute a public use proceeding                           |          |
| 140                        | 110                        | 240 55 Petition to revive - unavoidable   |          |
| 141                        | 1,240                      | 241 620 Petition to revive - unintentional  |          |
| 142                        | 1,240                      | 242 620 Utility issue fee (or reissue)  |          |
| 143                        | 440                        | 243 220 Design issue fee  |          |
| 144                        | 600                        | 244 300 Plant issue fee   |          |
| 122                        | 130                        | 122 130 Petitions to the Commissioner   |          |
| 123                        | 50                         | 123 50 Processing fee under 37 CFR 1.17(q)  |          |
| 126                        | 180                        | 126 180 Submission of Information Disclosure Stmt                                 |          |
| 581                        | 40                         | 581 40 Recording each patent assignment per property (times number of properties) |          |
| 146                        | 710                        | 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 149                        | 710                        | 249 355 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 179                        | 710                        | 279 355 Request for Continued Examination (RCE)                                   |          |
| 169                        | 900                        | 169 900 Request for expedited examination of a design application                 |          |
| Other fee (specify) _____  |                            |   |          |

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

## SUBMITTED BY

Complete if applicable

|                   |   |                                   |        |           |              |
|-------------------|---|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | Robert McIsaac, Ph.D.   | Registration No. (Attorney/Agent) | 46,918 | Telephone | 617-526-6000 |
| Signature         |  |                                   |        | Date      | 3/26/01      |

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(106101.145)

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09/817913  
03/16/01

## Certificate of Mailing under 37 CFR 1.10 Express Mail

I hereby certify that the documents listed below are being deposited with the United States Postal Service on 3/9/01, in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL540703006US addressed to: Box Patent Application, Commissioner of Patents & Trademarks, Washington, DC 20231.

Laura Leleci

Signature

Laura Habier

Printed name of person signing

### Submitted Documents:

1. UTILITY PATENT APPLICATION
2. UTILITY APPLICATION TRANSMITTAL
3. FEE TRANSMITTAL
4. APPLICATION DATA SHEET
5. POSTCARD